

Outside Business Activity Template

To report a NEW OBA, Go to TFA informs click on the Outside Business Activity in Menu and then “Add New OBA” button

4. What type of submission is this? [ALL OTHER OUTSIDE BUSINESS ACTIVITIES](#)

4.1 Provide full legal name of the outside (non-Firm) company, entity, or business venture (OBA).
[INHERITGUARD, LLC](#)

4.2 where is the Company/OBA LOCATED? [U.S.](#)

4.2.1 Company/OBA ADDRESS

[30 N Gould Street](#)

Company/OBA Street Address / Suite # Line 2: [STE 41954](#)

City: [SHERIDAN](#)

State: [WYOMING](#)

Zip Code: [82801](#)

4.3 Is this business address the same as your registered branch location? [NO](#)

4.4 Is there a website for this entity? [YES](#)

4.4.1 Please provide the web address of the site: [WWW.INHERITGUARD.COM](#)

4.5 Is this OBA a pass-through entity for accounting purposes for your WFG/TFA/TAN Business?
[NO](#)

4.6 Is this OBA Investment Related? [NO](#)

4.7 Is this company publicly traded? [NO](#)

4.8 Are you currently affiliated with this OBA? [NO](#)

4.8.1. When do you expect to become affiliated with this OBA? [\(DATE YOU WISH TO START\)](#)

4.9 Briefly describe the type of business conducted by this Company. Examples: Board Member; Rental Property; Entity Ownership; Insurance Sales; Retail; Tax Preparation; Real Estate Sales; Mortgages; etc.
[PROVIDING BUNDLED PROFESSIONAL SERVICES WITH EMPHASIS ON ESTATE PLANNING SERVICES](#)

4.10 What is your title or position for this activity? [NOT APPLICABLE/NONE](#)

4.11 Please describe in detail your usual, daily activities and responsibilities with this OBA.

CLIENT COMMUNICATIONS, FOLLOW UP WITH CLIENT ISSUES, PROVIDE EDUCATION ON ESTATE PLANNING ISSUES, SCHEDULE APPOINTMENTS.

4.12 How will you be compensated or paid for your activity with this OBA?

OTHER

4.12.1 Explain in detail the other type of compensation you receive.

COMPENSATION IS NOT PAID AS A COMMISSION OR REFERRAL FEE BUT IS FOR PROVIDING ADMINISTRATIVE AND CUSTOMER SERVICE TASKS FOR EVERY PAID CLIENT TO ASSIST WITH CLIENT COMMUNICATIONS, FOLLOW UP WITH CLIENT ISSUES, PROVIDE EDUCATION ON ESTATE PLANNING ISSUES, SCHEDULE APPOINTMENTS.

4.13 What is your current or anticipated annual income from this OBA? \$300 or \$400 PER CLIENT SERVICED

4.14 Is there any further information that you should disclose to TFA regarding your compensation, pay or economic benefits from this OBA?

NO

4.15 Will you be marketing or selling any any products or services with this OBA? YES

4.15.1 Describe the product or service you will be marketing/selling.

BUNDLED ESTATE PLANNING SERVICES, DEED AND NOTARY SERVICES

4.15.2 Have you used or will you use any marketing/sales materials in connection with this activity YES

4.15.2.1 Do you understand that TFA may request that you provide copies of the marketing/sales materials? YES

4.16 Have you marketed/Sold or will market/sell any products or services to: TFA CUSTOMER

4.17. Do you, or will you, have business cards for this OBA? NO

4.18 Are you required to hold any licenses, registrations, or professional designations to market/sell the products or services of this OBA? NO

4.19 How many hours each month do or will you devote to this OBA? 5

4.20 How many hours each month do or will you devote to this OBA? 5

4.21 Please indicate the form of business structure of this company/OBA. LLC

4.22 Will you be: Employee Independent Contractor Director, Officer, Partner, Member, Trustee, or similar capacity **OTHER**

4.23 Do you or will you have any ownership interest in this company/OBA? **NO**

4.24 Do any of the following have any beneficial interest in this company/OBA?
None of the above

4.25 Do any of the following have custom or control in this company/OBA
NONE OF THE ABOVE

4.26 Did a current or former representative of the firm introduced you to this OBA? **NO**

4.27 Have you been or will you be involved in raising money, capital, funds, or any other assets in connections with this activity? **NO**

4.28 Will you be involved, or have you previously been involved, in referring anyone to another person or entity seeking money funds, investments or any other capital for investment in this OBA? **NO**

4.29 Have any of the following invested capital in this company/OBA
NOE OF THE ABOVE

4.30 Is there any additional information you should disclose to TFA in order to provide a complete and accurate description of your association with this OBA?

MARKETING MATERIALS ARE ONLY DISTRIBUTED TO CLIENTS AS PART OF THE EDUCATIONAL SEMINARS AND INDIVIDUAL MEETINGS WITH INHERITGUARD PROFESSIONALS. THEY ARE NOT USED FOR GENERAL DISTRIBUTION. FEES ARE NOT PAID AS A COMMISSION OR REFERRAL FEE BUT ARE PAID FOR PROVIDING ADMINISTRATIVE AND CUSTOMER SERVICE TASKS FOR EVERY PAID CLIENT.

Please provide the information you deem is necessary.